

PHOENIX BUSINESS JOURNAL



INVESTMENT ADVISERS

RANKED BY ASSETS UNDER MANAGEMENT LOCALLY AS OF 12/31/2016

	Business name / 2016 Rank Website	Address Phone	Assets under management locally	Discretionary assets	Licensed investment advisers	Top local executive	Year estab. locally
1	First Financial Equity Corp. ② ffec.com	7373 N. Scottsdale Rd., #D-120 Scottsdale, AZ 85253 480-951-0079	\$2.8 billion	\$2.8 billion	123	Jeffrey Graves, jgraves@ffec.com	1985
2	MRA Associates ¹ ③ mraassociates.com	3200 E. Camelback Rd., #300 Phoenix, AZ 85018 602-468-1232	\$2.71 billion	NA	21	Mark Feldman	1991
3	Madison Scottsdale * madisonscottsdale.com	8777 N. Gainey Center Dr., #220 Scottsdale, AZ 85258 480-596-3338	\$2.46 billion	\$2.45 billion	4	William Fain; Anjanette Fowler; Don Miller, dmiller@madisonscottsdale.com	1993
4	TCI Wealth Advisors Inc. ④ tcwealth.com	6929 Greenway Pkwy., #150 Scottsdale, AZ 85254 480-991-0401	\$2.25 billion	\$2.24 billion	17	Michael Grosso; Lori Booth-Houle, Lori.BoothHoule@tcwealth.com	1990
5	TFO Phoenix Inc. ⑤ tfophoenix.com	2400 E. Arizona Biltmore Cir., #1400 Phoenix, AZ 85016 602-466-2611	\$1.62 billion	\$944.5 million	18	Scott Horn	2011
6	MassMutual Arizona ² ⑥ arizona.massmutual.com	17550 N. Perimeter Dr., Suite 450 Scottsdale, AZ 85255 480-538-2900	\$1.37 billion	NA	75	Jeffrey Dollarhide, jdollarhide@financialguide.com	1958
7	WealthPlan Advisors ⑥ wealthplanadvisor.com	7272 E. Indian School Rd., #103 Scottsdale, AZ 85251 480-800-3519	\$1.3 billion	\$225.3 million	12	Sally Johnson, sjohnson@wealthplanadvisor.com; Scott Schlappi, sschlappi@wealthplanadvisor.com	1999
8	Ironwood Investment Counsel LLC ⑦ ironwoodic.com	14646 N. Kierland Blvd., #135 Scottsdale, AZ 85254 480-609-4700	\$1.01 billion	\$1.01 billion	7	Jeffrey Shifra; Bruce Williams; Paul Alston; Matthew Carter	2001
9	L. Roy Papp & Associates LLP ⑧ royppapp.com	2201 E. Camelback Rd., #2278 Phoenix, AZ 85016 602-956-0980	\$879.79 million	\$789.88 million	9	Harry Papp, harry@royppapp.com	1978
10	Autus Asset Management LLC ⑨ autusam.com	16435 N. Scottsdale Rd., #105 Scottsdale, AZ 85254 480-348-6493	\$720.59 million	\$720.59 million	6	Steve Fields; Mark Fiedler; Don Cuppy; Kipp Goll	2002
11	Rowland Carmichael Advisors Inc. * rowlandcarmichael.com	7272 E. Indian School Rd., #212 Scottsdale, AZ 85251 480-421-2660	\$640.16 million	\$190.18 million	6	David Carmichael; Timothy Rowland	1986
12	Flader Wealth Consulting Group ⑩ fladergroup.com	2398 E. Camelback Rd., #700 Phoenix, AZ 85016 602-381-5388	\$640 million	NA	1	Allan Flader, allan.flader@rbc.com	1987
13	Versant Capital Management Inc. ⑪ versantcm.com	2390 E. Camelback Rd., #425 Phoenix, AZ 85016 602-635-3760	\$623.62 million	\$551.79 million	7	Thomas J. Connelly; Elizabeth Shabaker	2004
14	United Planners Financial Services ⑫ unitedplanners.com	7333 E. Doubletree Ranch Rd., #120 Scottsdale, AZ 85258 800-966-8737	\$619.79 million	\$318.95 million	47	David Shindel; Tom Oliver; Mike Baker	1987
15	Alpha Fiduciary * alphafiduciary.com	7010 E. Chauncey Ln., #130 Phoenix, AZ 85054 480-306-8700	\$539 million	\$321 million	4	Peter Eickelberg, peter@alphafiduciary.com	2006
16	Harnden MacLean Wealth Advisors ⑬ harndenmaclean.com	7992 W. Thunderbird Rd., #110 Peoria, AZ 85381 623-972-3133	\$427.54 million	\$299.13 million	9	Steve Harnden, stephen.p.harnden@ampf.com; Matthew MacLean	1995
17	WealthTrust - Arizona ⑭ wealthtrust-arizona.com	8434 E. Shea Blvd. Scottsdale, AZ 85260 480-483-7300	\$409.91 million	\$409.91 million	5	Todd Stoa, tsto@wealthtrust.com	1996
18	Capital Insight Partners LLC ⑮ capitalinsightpartners.com	7328 E. Deer Valley Rd., #105 Scottsdale, AZ 85255 480-295-7020	\$373.52 million	\$365.36 million	5	Steve Nelson, steve@capitalinsightpartners.com	2008
19	Stellar Capital Management LLC ⑯ stellarmgt.com	2200 E. Camelback Rd., #130 Phoenix, AZ 85016 602-778-0307	\$326.19 million	\$293.19 million	5	Steve Taddie	1984
20	Southwest Wealth Strategies ⑰ southwestwealthstrategies.com	7373 N. Scottsdale Rd., #A110 Scottsdale, AZ 85253 480-397-7551	\$325 million	\$0	8	John Arnold, john@southwestwealthstrategies.com	2013
21	Stonegate Capital Advisors ⑱ stonegateprivateclient.com	7373 N. Scottsdale Rd., #D245 Scottsdale, AZ 85253 602-953-8450	\$303 million	NA	5	James Van Houten, info@stonegatefg.com	2002
22	Brighton Jones LLC ⑲ brightonjones.com	4237 N. Craftsman Ct., #200 Scottsdale, AZ 85251 480-353-2456	\$290.24 million	\$290.24 million	3	Kory Leadon, kory@brightonjones.com	2010
23	Able Financial Group ⑳ ablefinancialgroup.com	8737 E. Via de Commercio, #100 Scottsdale, AZ 85258 480-258-6104	\$284.9 million	\$184.23 million	4	Lee Eisenberg; Adam Brooks, adam@ablefinancialgroup.com	2006
24	Pathlight Investors LLC ㉑ pathlightinvestors.com	3131 E. Camelback Rd., #135 Phoenix, AZ 85016 602-795-7600	\$263.65 million	\$218.28 million	5	Patsy Nodilo; M.J. Nodilo, mnodilo@pathlightinvestors.com; Adrian Larson	2009
25	Affinity Wealth Advisory Group ㉒ affinitywealthadvisor.com	7010 E. Chauncey Ln., #200 Phoenix, AZ 85054 602-923-9800	\$250 million	NA	3	Jason Ayala, jason.j.ayala@ampf.com; Renee Hanson; Kristi Morrow, kristi.l.morrow@ampf.com	2001

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