



Pre-Contracting Requirements

Please complete the precontracting packet in its entirety. This information will be entered into SureLC, our online contracting solution. This system will securely store your information for use with any future contracting. You will only be required to complete the following documents *once*.

AML: The AML (Anti-Money Laundering) refresher course must be completed on a yearly basis. This training can be done online at http://nailba.limra.com/Nailba_default.html or with a 3rd party vendor.

Annuities and LTC Continuing Education (C.E.): Be sure that any state mandated continuing education is current. Many states require follow up C.E. every 2 years. Applications from agents with non-current C.E. will be rejected and returned by the carriers as mandated by the Department of Insurance in that state.

Continuing Education Website Resources -

Sircon Continuing Education transcript inquiry look up tool for many states click:

<https://www.sircon.com/ComplianceExpress/NonSscrbEducation/index.jsp?nonSscrb=Y&sscrbid=9999>

ClearCert An excellent site for agents and producers with very reasonable pricing that assists on keeping track of State CE Requirements Click: <https://clearcert.com/>

Annuities Carrier Product Training: According to NAIC Model Regulation 275, each agent is required to complete product specific training modules through each insurance carrier prior to the date of an annuity application. Applications from agents who have not completed the training will be rejected and returned by the carriers, according to each state's requirements.

Pre-appointment Carriers for Annuity -

Americo	Assurity
Equitable Life & Casualty	Mutual of Omaha
National Western	New York Life
Oxford Life	Sagicor

Producer Information Updates: It is up to the individual producer to provide updates to any changes to their information. If there have been changes to any information on the above forms, please let us know as soon as possible.

The completed packet can be returned via mail, e-mail, secure doc upload via our website or fax to LifeBrokers Licensing.

If you have any questions; they should be directed to:

LifeBrokers Licensing team:

licensing@lbiusa.com

Phone: 602-494-9500 or toll free 800-516-0283

Fax: 602-494-0500

www.lbiusa.com